

# Brochure Supplement

MARCH 17, 2020

## Cynthia Morris

901 Mariners Island Boulevard, Suite 125  
San Mateo, CA 94403

(650) 358-9000

This Brochure Supplement provides information about Cynthia Morris that supplements the Disclosure Brochure of Sensiba San Filippo Financial Advisors, LLC (hereinafter "SSFFA"), a copy of which you should have received. Please contact SSFFA's Chief Compliance Officer if you did not receive the Disclosure Brochure or if you have any questions about the contents of this Brochure Supplement. Additional information about Cynthia Morris is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**Sensiba San Filippo Financial Advisors, LLC, a Registered Investment Adviser**

901 Mariners Island Boulevard, Suite 125, San Mateo, CA 94404 | (650) 358-9000  
[www.ssfinancial.com](http://www.ssfinancial.com)

## Item 2. Educational Background and Business Experience

Born 1964

### Post-Secondary Education

Whitman College | Bachelors, Economics | 1986

### Recent Business Background

Sensiba San Filippo Financial Advisors, LLC | Investment Adviser Representative | January 2019 – Present

Rand & Associates | Portfolio Manager | June 2015 – August 2018

### Professional Designations

Cynthia Morris holds the professional designations of Chartered Financial Analyst (“CFA”) and CERTIFIED FINANCIAL PLANNER™ (“CFP®”).

The CFA® charter is a credential awarded by the CFA Institute to individuals who meet its education, examination, sponsorship, experience and ethics requirements. To earn a CFA® charter, eligible candidates must have four years of qualified investment work experience, become a member of the CFA Institute, adhere to the Code of Ethics and Standards of Professional Conduct on an ongoing basis, and complete the CFA® program, which requires the passage of three separate six-hour examinations. Topics tested by the CFA Institute include ethical standards, quantitative methods, economics, financial reporting, corporate finance, equities, fixed income, derivatives, alternative investments, and portfolio management.

The CFP® certification is a financial planning credential awarded by the Certified Financial Planner Board of Standards Inc. (the “CFP Board”) to individuals who meet its education, examination, experience and ethics requirements. Eligible candidates are generally required to have three years of financial planning related experience and possess a bachelor’s degree from an accredited U.S. college or university. Certificants are further required to complete a CFP Board-Registered Education Program (or possess a qualifying professional credential), clear a personal and professional background check, and pass the CFP® Certification Examination, a ten-hour multiple choice exam divided into three separate sessions. In order to maintain the certification, CFP® designees must also complete at least 30 hours of continuing education every two years on an ongoing basis.

For additional information about each of these credentials, please refer directly to the website of the issuing organizations.

### **Item 3. Disciplinary Information**

SSFFA is required to disclose information regarding any legal or disciplinary events material to a client's evaluation of Cynthia Morris. SSFFA has no information to disclose in relation to this Item.

### **Item 4. Other Business Activities**

SSFFA is required to disclose information regarding any investment-related business or occupation in which Cynthia Morris is actively engaged. SSFA has no information to disclose in relation to this item.

### **Item 5. Additional Compensation**

SSFFA is required to disclose information regarding any arrangement under which Cynthia Morris receives an economic benefit from someone other than a client for providing investment advisory services. SSFFA has no information to disclose in relation to this Item.

### **Item 6. Supervision**

Jerry J. Krause, Managing Member, is generally responsible for supervising Cynthia Morris's advisory activities on behalf of SSFFA. The telephone number to reach Jerry Krause is (650) 358-9000.

SSFFA supervises its personnel and the investments made in client accounts. SSFFA monitors the investments recommended by Cynthia Morris to ensure they are suitable for the particular client and consistent with their investment needs, goals, objectives and risk tolerance, as well as any restrictions previously requested by the client. SSFFA periodically reviews the advisory activities of Cynthia Morris, which may include reviewing individual client accounts and correspondence (including e-mails) sent and received by Cynthia Morris.

### **Item 7. Requirements for State-Registered Advisers**

SSFFA is required to disclose the material facts regarding Cynthia Morris's involvement in certain civil, self-regulatory organization or administrative proceedings, arbitration awards or findings, or bankruptcy proceedings. SSFFA has no information to disclose in relation to this Item.